The weaknesses of voluntary regulation for health care support workers
Introduction

Patients and the public rightly expect the highest standards of care from whoever delivers their care. The RCN believes that only mandatory regulation of health care support workers (HCSWs), enshrined in law, and core standards of education can provide the peace of mind to patients and assurance to nurses that all HCSWs have the appropriate level of knowledge and skills required for the role.

The RCN has consistently called for the mandatory, statutory regulation of all HCSWs since 2007 and is far from alone. Only recently the Health Select Committee reported that “The Committee endorses mandatory statutory regulation of health care assistants and support workers and we believe that this is the only approach which maximises public protection.” This stance was supported by the independent Willis Commission, whose report concluded that “The commission finds it unacceptable that staff whose competence is not regulated or monitored are caring for vulnerable citizens, notwithstanding the significant challenges involved.”

The Government has tasked Skills for Health and Skills for Care to develop a code of conduct and minimum training standards for Health Care and Adult Social Care Support Workers. It is envisaged by the government that these resources may be used by a body or bodies wishing to establish a voluntary register(s) for support workers as standards for entry to the register(s) during 2013. The RCN has real concerns that a voluntary system will only lead to confusion and inconsistency in both application and approach; leading to continued erosion of patient and professional confidence in the wider regulatory system.

Why regulate?

Mandatory regulation and core standards of education provide confidence to patients and assurance to nurses that any HCSW has a core level of knowledge and skills that will be applicable in all care settings, underpinned by a clear and consistent regulatory structure if concerns are raised about performance or conduct. It also provides a standardised framework for education and conduct and a career pathway for the HCSWs themselves.

The RCN considers the “assured voluntary registration” approach to be inherently weak for a number of reasons:

Patient safety

A voluntary programme of regulation will do nothing to prevent poorly performing or dangerous support workers from leaving one employer for another; thereby placing patients and public safety at risk. There will be no formal requirement for HCSWs to achieve an appropriate level of education and competence, or for employers to ensure HCSWs develop to specific standards. Equally there will be no requirement in a voluntary system for HCSWs to work within a code of practice and conduct and no meaningful sanctions for HCSWs who do not achieve or maintain the level of education and behaviour. In particular there is a real danger that those who potentially present the greatest risk are the least likely to join a register. This is “assurance” in name only.
Professional practice issues

Ensuring consistency in delegation has caused a high level of concern for registered nurses as the HCSW role has developed. Recent studies on HCSWs ¹ have shown that registered nurses have a deep anxiety regarding the absence of national regulation of HCSWs which nurses feel deny them any form of quality assurance about a HCSW’s competence to undertake delegated tasks.

Devaluing nurse regulation

Since HCSWs are being asked to undertake tasks previously carried out by registered nurses who are subject to statutory regulation, it is unclear why voluntary regulation is now considered appropriate for HCSWs performing the very same tasks.

Confusion and inconsistency

For HCSWs themselves, a lack of consistency in standards from employer to employer can lead to varying expectations of competence depending on the post held. HCSWs should be confident that they have received the right level of education and training so that they can carry out tasks no matter where they are located. Registered nurses need assurance that all HCSWs have a core level of competence. The absence of core standards leads to a ‘postcode lottery’ of education and training for HCSWs which in turn leads to lack of clarity for nurses, HCSWs and patients alike.

Equally the current proposals for voluntary regulation could potentially allow there to be multiple registers, held by a number of bodies, leading to unclear accountability and lack of regulatory cohesion. The absence of a single register and a single point of contact will inevitably lead to confusion and delay if concerns are raised.

Mandatory regulation, enshrined in law and underpinned by core standards, would provide a far better platform for HCSWs to ensure that their skills and knowledge could be transferred with them. It would also ensure that there is clear statutory oversight of the regulatory structure from a specific organisation.

The European dimension

The regulation of support workers in Europe is not new. Other member states such as Belgium, Denmark and Finland all have forms of mandatory HCSW regulation.

Given the similarity in health care delivery and staffing challenges across Europe, it is unclear why the Government is not willing to implement similar levels of assurance in relation to mandatory regulations, when this is seen as vital in many other countries.

Additional weakness of current proposed model for England

The proposed codes and standards being produced by Skills for Health and Skills for Care for England do not include an employers’ code and therefore employers will have no guidance in how to implement the HCSW standards. The RCN believes that in the absence of an employers’ code a voluntary system will be further undermined as there will be no means of enforcing the proposed codes and standards in England.

**Summary**

The RCN believes that mandatory, regulation enshrined in law is the only way to ensure that HCSWs are trained and educated with consistency, and working to values and behaviours that all people receiving health care in the UK should expect. No system of voluntary regulation will assure the protection of the public and risks creating confusion rather than consistency.

In the absence of evidence supporting a voluntary system we believe that the Government must support the introduction of mandatory regulation and core education standards for HCSWs. Only then can patients and staff be assured that HCSWs are receiving the right level of education and training to carry out the tasks they are routinely expected to perform.

**References**


Health Select Committee (2011) *Nursing and Midwifery Annual accountability hearing with the Council; Seventh Report of Session 2010–12; Published on 26 July 2011 by authority of the House of Commons; London: The Stationery Office Limited*

